

SAMPLE
SCHEDULE A

Services to be performed by the Planner for the Client are as follows:

	Include
Cash Flow and Net Worth Statement	_____
Goal Setting	_____
Risk Tolerance Analysis	_____
Investment	_____
Review of current allocation	_____
Proposed asset allocation	_____
Retirement	_____
Needs analysis	_____
Asset Projections	_____
Monte Carlo Analysis	_____
Retirement Plan Analysis	_____
401(k) Asset Allocation	_____
IRA/Roth IRA Analysis	_____
SIMPLE/SEP/Keogh Analysis	_____
Portfolio Analysis	_____
Review of current portfolio	_____
Proposed portfolio	_____
Investment Policy Statement	_____
Insurance	_____
Life Insurance Analysis	_____
Disability Analysis	_____
Home, Auto and Health Analysis	_____
Long Term Care Analysis	_____
Income Tax Analysis	_____
Tax Preparation	_____
Estate Planning	_____
Education Funding Analysis	_____
Other	_____
Fixed Fee: \$ _____ *	
Hourly Fee: \$ _____ *	
Estimated Fee: \$ _____ *	

Additional Terms/Conditions: _____

Client: _____ *Date* _____

The Planner shall provide only the services initialed above by the Client.

* Payable: \$ _____ upon engagement
 \$ _____ upon completion